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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Charles B Chapman et al

Confirmation No.: 6687

Application No.: 09/981779

Examiner: Unknown

Filing Date: Oct 17, 2001

Group Art Unit: 2131

Title: Chain Of Custody System And Method

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

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Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: Mar 28, 2002

Typed Name: Jennifer Torres

Signature:

Respectfully submitted,

Charles B Chapman et al

By

Jerry Potts

Attorney/Agent for Applicant(s)

Reg. No. 27,091

Date: 3-28-02

Telephone No.: (858) 655-5973

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FORM PTO-1449

ATTY. DOCKET NO.

APPLICATION NO.

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10007705-1

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LIST OF PATENTS AND PUBLICATIONS FOR
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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	4,453,074	Jun 5, 1984	Weinstein		
	1B	4,670,857	Jun 2, 1987	Rackman		
	1C	4,714,962	Dec 22, 1987	Levine		
	1D	4,776,013	Oct 4, 1988	Kafri et al.		
	1E	4,786,940	Nov 22, 1988	Daniele		
	1F	4,835,563	May 30, 1989	Larish		
	1G	4,853,733	Aug 1, 1989	Watanabe et al.		
	1H	4,853,961	Aug 1, 1989	Pastor		
	1I	4,879,747	Nov 7, 1989	Leighton et al.		
	1J	5,027,401	Jun 25, 1991	Soltesz		
	1K	5,036,344	Jul 30, 1991	Inoue et al.		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Kelsey et al. "An Authenticated Camera"
	1R	
	1S	

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	2A	5,138,459	Aug 11, 1992	Roberts et al.		
	2B	5,159,630	Oct 27, 1992	Tseng et al.		
	2C	5,164,836	Nov 17, 1992	Jackson et al.		
	2D	5,184,169	Feb 2, 1993	Nishitani		
	2E	5,198,851	Mar 30, 1993	Ogawa		
	2F	5,204,901	Apr 20, 1993	Hershey et al.		
	2G	5,321,501	Jul 27, 1993	Sakai		
	2H	5,233,653	Aug 3, 1993	Katsurabayashi		
	2I	5,260,735	Nov 9, 1993	Ishikawa et al.		
	2J	5,260,795	Nov 9, 1993	Sakai et al.		
	2K	5,272,025	Dec 21, 1993	Wheeler		

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	3A	5,297,202	Mar 22, 1994	Kapp et al.		
	3B	5,301,044	Apr 5, 1994	Wright		
	3C	5,303,050	Apr 12, 1994	Nishimura et al.		
	3D	5,303,370	Apr 12, 1994	Brosh et al.		
	3E	5,307,100	Apr. 16, 1994	Kubo		
	3F	5,321,749	Jun 14, 1994	Virga		
	3G	5,377,362	Aug 9, 1994	Gormish et al.		
	3H	5,383,027	Jan 17, 1995	Harvey et al.		
	3I	5,384,846	Jan 24, 1995	Berson et al.		
	3J	5,389,989	Feb 14, 1995	Hawkins et al.		
	3K	5,404,463	Apr 4, 1995	McGarvey		

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	4A	5,410,642	Apr 25, 1995	Hakamatsuka et al.		
	4B	5,416,510	May 16, 1995	Lipton et al.		
	4C	5,420,924	May 30, 1995	Berson et al.		
	4D	5,430,525	Jul 4, 1995	Ohta et al.		
	4E	5,468,581	Nov 21, 1995	Coe et al.		
	4F	5,485,285	Jan 16, 1996	Shono et al.		
	4G	5,543,885	Aug 6, 1996	Yamano et al.		
	4H	5,499,294	Mar 12, 1996	Friedman		
	4I	5,581,613	Dec 3, 1996	Nagashima et al.		
	4J	5,719,939	Feb 17, 1998	Tel		
	4K	5,799,082	Aug 25, 1998	Murphy et al.		

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	5A	5,815,093	Sep 29, 1998	Kikinis		
	5B	5,862,217	Jan 19, 1999	Steinberg et al.		
	5C	5,862,218	Jan 19, 1999	Steinberg		
	5D	5,987,155	Nov 16, 1999	Dunn et al.		
	5E	6,006,039	Dec 21, 1999	Steinbeg et al.		
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	5I					
	5J					
	5K					

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